

# Erythropoietin Prevents Haloperidol Treatment-Induced Neuronal Apoptosis through Regulation of BDNF

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Functional alterations in the neurotrophin, brain-derived neurotrophic factor (BDNF) have recently been implicated in the pathophysiology of schizophrenia. Furthermore, animal studies have indicated that several antipsychotic drugs have time-dependent (and differential) effects on BDNF levels in the brain. For example, our previous studies in rats indicated that chronic treatment with the conventional antipsychotic, haloperidol, was associated with decreases in BDNF (and other neurotrophins) in the brain as well as deficits in cognitive function (an especially important consideration for the therapeutics of schizophrenia). Additional studies indicate that haloperidol has other deleterious effects on the brain (eg increased apoptosis). Despite such limitations, haloperidol remains one of the more commonly prescribed antipsychotic agents worldwide due to its efficacy for the positive symptoms of schizophrenia and its low cost. Interestingly, the hematopoietic hormone, erythropoietin, in its recombinant human form rhEPO has been reported to increase the expression of BDNF in neuronal tissues and to have neuroprotective effects. Such observations provided the impetus for us to investigate in the present study whether co-treatment of rhEPO with haloperidol could sustain the normal levels of BDNF *in vivo* in rats and *in vitro* in cortical neuronal cultures and further, whether BDNF could prevent haloperidol-induced apoptosis through the regulation of key apoptotic/antiapoptotic markers. The results indicated that rhEPO prevented the haloperidol-induced reduction in BDNF in both *in vivo* and *in vitro* experimental conditions. The sustained levels of BDNF in rats with rhEPO prevented the haloperidol-induced increase in caspase-3 ( $p < 0.05$ ) and decrease in Bcl-xl ( $p < 0.01$ ) protein levels. Similarly, *in vitro* experiments showed that rhEPO prevented ( $p < 0.001$ ) the haloperidol-induced neuronal cell death as well as the decrease in Bcl-xl levels ( $p < 0.01$ ). These findings may have significant implications for the development of neuroprotective strategies to improve clinical outcomes when antipsychotic drugs are used chronically.

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## INTRODUCTION

The prevention and/or amelioration of the neuropathological events in schizophrenia is a major therapeutic objective, however, an equally important goal is to ensure that the major therapeutic agents used (ie the antipsychotics) do not exacerbate this pathology. The effects of typical antipsychotics have been compared to atypical antipsychotics on psychopathology and neuropathology in schizophrenia, and based on short-term studies; atypical antipsychotics have been suggested to have a more favorable profile (Lieberman *et al*, 2003; Jarskog and Lieberman, 2006; Kapur *et al*, 2005;

Leucht *et al*, 2005). However, several animal studies indicate that long-term exposure to both classes of antipsychotics can be associated with adverse neurochemical and cognitive effects (reviewed, Terry and Mahadik, 2007). In addition, the large prospective clinical study, Clinical Antipsychotic Trials of Intervention Effectiveness (CATIE) indicated few significant advantages of typical antipsychotics over the representative conventional agent, perphenazine, when dropout rates (ostensibly due to intolerable side effects, lack of efficacy, etc) were considered. Further analyses of CATIE results indicated no significant difference between the two antipsychotic classes on psychosocial functioning in patients with chronic schizophrenia (Swartz *et al*, 2007).

A growing area of investigation within the field of schizophrenia research is the role of neurotrophins in the both pathophysiology and therapeutics of the illness. For example, deficits in brain-derived neurotrophic factor (BDNF) signaling have been implicated in the pathogenesis of schizophrenia (reviewed by Shoval and Weizman, 2005;

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Buckley *et al*, 2007) as having elevations of apoptotic proteins (eg proapoptotic triggers) that may result from alterations in neurotrophins. The support for this hypothesis is based upon reports of significant alterations of the proteins Bcl-2 and Bax (specifically the Bax/Bcl-2 ratio) in brain regions that are known to be important to the symptomatology of the schizophrenia, such as temporal cortex (Jarskog *et al*, 2004). Interestingly, short-term rodent studies have indicated an upregulation of antiapoptotic markers following treatment with olanzapine and clozapine (He *et al*, 2004; Bai *et al*, 2004), but showed increased activation of caspase-3, an apoptotic marker, with chronic use of both typical and atypical antipsychotics (Jarskog *et al*, 2007). Other studies in rodents have indicated that typical and atypical antipsychotics can have favorable or unfavorable effects on brain levels of BDNF (and other neurotrophins such as nerve growth factor) depending on the length of treatment (Alleva *et al*, 1996; Parikh *et al*, 2004a, b, c; Pillai *et al*, 2006; reviewed by Terry and Mahadik, 2007).

Among the various antipsychotics, haloperidol (HAL, a conventional or typical agent) continues to be widely prescribed worldwide due to higher potency and lower cost compared to many other antipsychotics, despite the possibility of adverse side effects. In addition, it appears to be among the least desirable agents from the standpoint of its temporal effects on neurotrophins in the brain (see Terry and Mahadik, 2007). Accordingly, we have developed the hypothesis that the adjunctive use of neurotrophic compounds might provide neuroprotective effects and limit the adverse side effects associated with drugs like HAL. The direct delivery of neurotrophins (themselves) like BDNF to the brain remains a major challenge, however, due to permeability of the blood-brain barrier. An alternative approach to directly administering BDNF is to increase BDNF activity in the brain by agents that can easily enter in the CNS. Interestingly, recombinant human EPO (rhEPO) treatment has recently been reported to have such effects (ie to increase the expression of BDNF in the brain; Zhang *et al*, 2006). Furthermore, several studies have shown that rhEPO is a potent neuroprotective agent against neural dysfunction resulting from a variety of neural insults that involve increased apoptosis (Sakanaka *et al*, 1998; Siren *et al*, 2001a; Dzietko *et al*, 2004; Spandou *et al*, 2004).

The expression of erythropoietin (EPO) and its receptors have also been shown in cultured neurons and astrocytes *in vitro*, and rhEPO has been found to be neuroprotective in a variety of situations, including hypoxia and oxidative stress *in vivo* (Bernaudin *et al*, 1999, 2000; Brines *et al*, 2000; Siren *et al*, 2001b; Marti, 2004). Furthermore, a recent study by Ehrenreich *et al*, 2007 has shown that rhEPO improves cognitive functions in chronic schizophrenic patients. We recently reported that HAL and olanzapine have distinct temporal effects on the expression of EPO and its receptor in adult rat brain (Pillai and Mahadik, 2006). Our data indicated that 14 days of HAL treatment increased the levels of EPO and its receptor in hippocampus and striatum, but their levels decreased below control values by 45 days of treatment, whereas olanzapine treatment sustained their increased levels at 45 days. These changes were in parallel to the neuropathological changes reported earlier in rats (Mahadik *et al*, 1988; Terry *et al*, 2002, 2003). These studies

strongly indicate that rhEPO may prevent HAL-induced reduction in BDNF levels in the brain and thus allow BDNF to provide neuroprotection through apoptotic regulation. Such animal data provide an initial proof of concept for the potential use of rhEPO as an adjunctive approach to combat adverse effects of chronic antipsychotic therapy in human patients.

In the present study, co-treatment of rhEPO with HAL was studied to determine effects on the levels of BDNF in both *in vivo* and *in vitro* experiments. We also determined whether changes in BDNF levels after HAL alone or co-treatment with rhEPO were associated with changes in various apoptotic markers (Bcl-2, Bcl-xl, Bax, and caspase-3).

## MATERIALS AND METHODS

### Animals

All *in vivo* experiments were conducted in adult male albino rats (Harlan Sprague-Dawley Inc., Indianapolis, IN, USA) weighing 225–250 g. All *in vitro* experiments were done in cerebral cortical neuronal cultures from embryonic day 15 mouse fetuses. Animal use procedures were performed after being reviewed and approved by Medical College of Georgia Committee on Animal Use for Research and Veterans Affairs Medical Center Subcommittee on Animal Use. Procedures were consistent with AAALAC guidelines as per Public Health Service Policy on Humane Care and Use of Laboratory Animals.

### Drug Treatments in Rats

Animals were housed one per cage under a 12-h light/12-h dark cycle and at constant temperature (25°C) and humidity. They were allowed free access to food and water. Haloperidol (Sigma Chemical Company, St Louis, MO) was dissolved in 0.1 M acetic acid and subsequently diluted daily (1:100) with tap water to administer the final daily dose of drug (diluted drug solution replaced drinking water). The amount of drug intake was measured daily, and adjustments were made depending upon the fluid consumed and weight of the animals. This method was preferred over multiple intramuscular injections to maintain more constant drug levels and to reduce stress and neuromuscular damage. Rats ( $N=6-8$  per group) were administered HAL, HAL and rhEPO, or vehicle for 6 weeks. The HAL dose (2.0 mg/kg/day) was selected based on previous studies where this dose was found to establish clinically relevant (Baldessarini *et al*, 1988) steady-state plasma levels in the rat (Terry *et al*, 2007). This dose is also comparable to the optimal dose to cause pharmacological effects (Skarsfeldt, 1996; Didrikson, 1995; Bymaster *et al*, 1996). The schedule of HAL administration was selected based on several studies by us and others in which differences in behavioral as well as pharmacological effects were seen after 45 days of treatment in rats (Parikh *et al*, 2004a, b, c; Terry *et al*, 2004; Pillai *et al*, 2005; Angelucci *et al*, 2000; Dawson *et al*, 2001). rhEPO (500 U/kg; Epoetin alfa, Eprex, Cilag) was administered intraperitoneally three times per week for 6 weeks. Tap water containing 0.1 M acetic acid was used for control group to assure that unanticipated effect of the vehicle was not present. All animals were monitored for change in body

weight and food intake as possible adverse effects of the treatment.

### Tissue Sample Preparation

At the end of treatment, rats were killed by decapitation, and the anterior right medial cortex from experimental and control rats were separately dissected and homogenized in ice-cold buffer (10 mM Tris-HCl, pH 7.5, 150 mM NaCl, 0.1% SDS, 1% Nonidet P-40, and 1% sodium deoxycholate) supplemented with protease inhibitor cocktail (Sigma) containing 104 mM AEBSF, 0.08 mM aprotinin, 2 mM leupeptin, 4 mM bestatin, 1.5 mM pepstatin A, and 1.4 mM E-64. After 15-min incubation period on ice, the extracts were clarified by centrifugation at 14 000 r.p.m. for 15 min at 4°C and stored at -70°C. Protein concentrations were determined by the bicinchoninic acid (BCA Protein Assay Kit, Sigma).

### Brain-Derived Neurotrophic Factor Immunoassay

Brain-derived neurotrophic factor protein was measured with a conventional sandwich ELISA using the BDNF Emax ImmunoAssay System (Promega, Madison, WI, USA) according to the protocol of the manufacturer.

### In Vitro Experiments

All cell-culture reagents, sera, and media were obtained from Invitrogen (Carlsbad, CA, USA).

### Cerebral Cortical Neuronal Cultures

Mouse cortical neurons were cultured as described previously (Dhandapani *et al*, 2003). Briefly, cerebral cortices from CD-1 murine embryos (E15) were aseptically dissected and plated at  $3.5 \times 10^5$  cells per well on polyethyleneimine-coated 24-well plates. Neurons were cultured in Neurobasal medium supplemented with B27, 2 mM L-glutamine, and antibiotics (Invitrogen). On the third day *in vitro* (DIV3), media was replaced with Neurobasal supplemented with B27 minus antioxidants, glutamine, and antibiotics. Purified neuronal cultures were routinely >97% neurons, as assessed by MAP-2 immunostaining. Neurons were used for treatments between DIV 5 and 7.

### Drug Treatments of Cortical Neurons in Culture

The optimum dose and time of exposure of rat cortical neurons to HAL was determined by treating neurons with various concentrations of HAL (10, 20, 40, 80, and 100  $\mu$ M) for different time periods (6, 12, 24, and 48 h). Neuroprotective experiments were done using 30 pM rhEPO and 50  $\mu$ M HAL as the optimum dose. Cells were pretreated with rhEPO for 24 h and then continued either on rhEPO (EPO) or on rhEPO plus HAL (co-treatment, EPO + HAL) for the next 24 h. Cells were then harvested for either cell viability assay or for analysis of BDNF and apoptotic proteins. Each treatment was done in four wells, and each experiment was repeated three times by independent investigators.

### Determination of Neuronal Cell Viability in Culture

Neuronal cell viability in culture was assessed using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) reduction assay, as described by Dhandapani *et al* (2003). Briefly, following treatments, MTT (5 mg/ml in phenol red-free RPMI-1640) was added to each well for 4 h at 37°C. Following incubation, formazan salts were dissolved in acidic isopropanol and absorbance was measured using a Synergy HT plate reader (Bio-Tek, Winooski, VT) at 570 nm using a reference wavelength of 900 nm. All readings were compared with the control treatment group, which represented 100% viability.

### Immunostaining for Neuronal Morphology with MAP-2 Antibody

Cortical neuronal cultures were fixed with 4% paraformaldehyde in 0.1 M phosphate-buffered saline (PBS) for 30 min and rinsed three times with PBS. Nonspecific sites were blocked, and cells were then rinsed two times with 1% normal goat serum (NGS) and 1% bovine serum albumin (BSA) in PBS for 10 min. Cultures were incubated with rabbit polyclonal MAP-2 (Chemicon) (dilution of 1:500 with 1% NGS/1% BSA in PBS) overnight at 4°C. Secondary antibody (Cy3-conjugated goat anti-rabbit IgG (1:500)) was incubated for 60 min at room temperature in 1% NGS + 1% BSA in PBS. After washing, images were captured using a Leica TCS-NT microscope.

### Preparation of Neuronal Cell Lysates

Following treatments in culture, cells were washed in PBS and collected in ice-cold lysis buffer (250 mM sucrose, 50 mM Tris-HCl, pH 7.5, 25 mM KCl, 0.5 mM phenylmethylsulfonyl fluoride, 0.9 mM sodium butyrate, 1.0 mM sodium orthovanadate, and 1% protease inhibitor cocktail, Sigma). Protein concentration was determined by the bicinchoninic acid method (BCA Protein Assay Kit, Sigma).

### Western Blot Analysis of Apoptotic Markers

Equal amounts of protein were resolved in SDS-polyacrylamide gels and transferred electrophoretically onto a nitrocellulose membrane (Bio-Rad). Membranes were blocked for 1 h in TBST (10 mM Tris-HCl, pH 8.0, 138 mM NaCl, 2.7 mM KCl, and 0.05% Tween 20) and 5% nonfat milk and incubated overnight with anti-sera directed against cleaved caspase-3 (1:200; Santa Cruz Biotech), Bax (1:250; Santa Cruz Biotech.), Bcl-2 (1:250; Santa Cruz Biotech), Bcl-xl (1:500; Santa Cruz Biotech), or  $\beta$ -actin (1:250; Sigma). After washing with TBST, the membranes were incubated for 1 h with horseradish peroxidase-conjugated anti-rabbit or anti-mouse anti-sera in TBST and 3% nonfat milk. The membranes were washed again with TBST, and proteins were visualized by enhanced chemiluminescence. The optical density of each band was determined using Quantity One software (Bio-Rad) after normalizing to the expression of  $\beta$ -actin.

### Caspase-3 Activity Assay

Caspase-3 activity was measured spectrophotometrically using the substrate *N*-acetyl-Asp-Glu-Val-Asp-*p*-nitroanilide (DEVD-pNa) in cortical homogenates according to the manufacturer's protocol (Caspase-3 Cellular Activity Assay Kit, Calbiochem, San Diego, CA). Specific activity of DEVD-pNa cleavage for each sample was calculated by measuring the slope of the linear portion of the absorbance vs time graph, and expressed as pmol pNa/min/mg total protein.

### Statistical Analysis

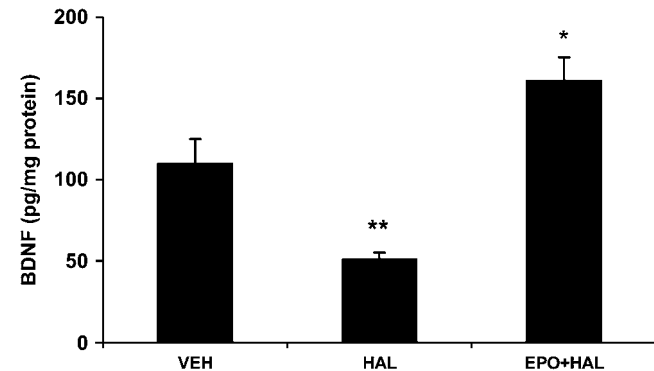
Results were expressed as means  $\pm$  SEM of five replicates of the same treatment and from 2 to 3 experiments done at different times using different sets of animals. The effect of different treatments was analyzed using a one-way analysis of variance (ANOVA) followed by *post hoc* Dunnett's multiple comparison test. A *p*-value of  $<0.05$  was considered to be significant.

## RESULTS

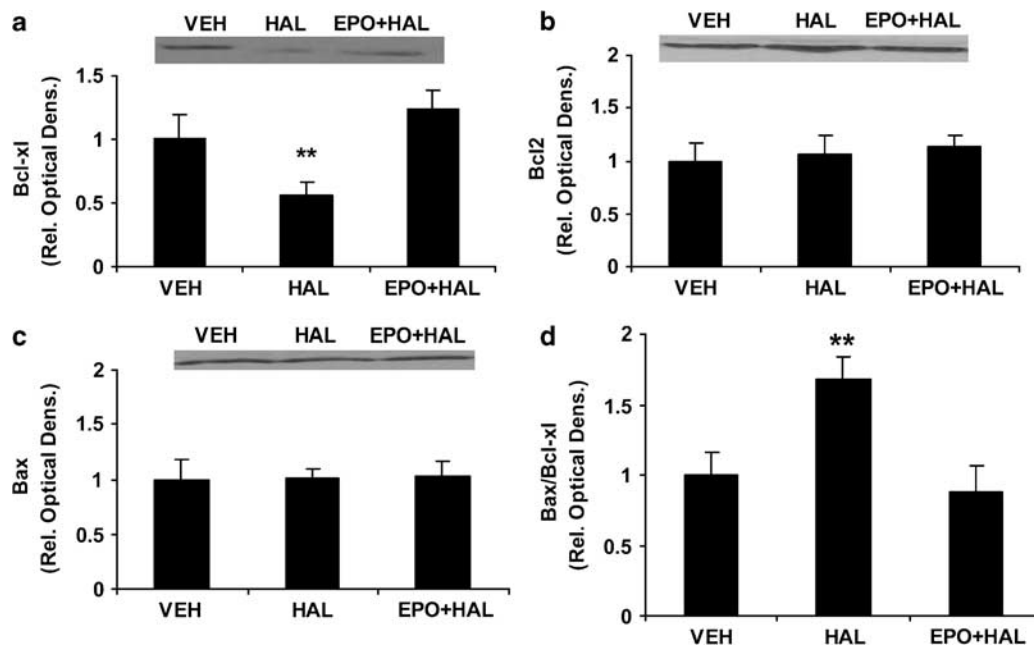
### In Vivo Studies in Rats

*rhEPO prevents HAL-induced decrease in BDNF levels in rat cerebral cortex.* Continuous HAL treatment for 6 weeks significantly decreased BDNF protein levels compared to vehicle treatment (Figure 1;  $F=20.113$ ,  $df=2,30$ ,  $p=0.002$ ). EPO co-treatment prevented the HAL-associated decrease in the BDNF protein levels ( $p<0.001$  vs HAL) and also significantly increased BDNF levels above vehicle treatment ( $p<0.05$  vs vehicle).

*rhEPO prevents HAL-induced changes in apoptotic markers in rat cerebral cortex.* The levels of Bcl-xl protein, an antiapoptotic marker, were significantly decreased after HAL treatment (Figure 2a;  $F=16.441$ ,  $df=2,30$ ,  $p=0.004$ ) and were unchanged or slightly increased with rhEPO co-treatment (Figure 2a; EPO + HAL) as compared to vehicle-treated rats. However, the levels of another antiapoptotic marker, Bcl-2 (Figure 2b), and the apoptotic marker, Bax protein (Figure 2c), did not change with either HAL treatment alone or with rhEPO co-treatment (EPO + HAL).



**Figure 1** Co-treatment with rhEPO prevents haloperidol (HAL)-induced reduction in brain-derived neurotrophic factor (BDNF) protein levels in rat frontal cortex. Rats were treated daily for 6 weeks with vehicle (VEH), HAL (2 mg/kg) or HAL + 500 U/kg rhEPO (HAL + EPO) through drinking water. Values are mean  $\pm$  SE ( $n=5$  rats). \* $p<0.05$  vs VEH and \*\* $p<0.01$  vs VEH as well as EPO + HAL; ANOVA followed by *post hoc* Dunnett's multiple comparison test.



**Figure 2** Effect of haloperidol (HAL) or HAL + rhEPO on levels of apoptotic markers in rat frontal cortex (a) Bcl-xl, (b) Bcl2, (c) Bax, and (d) Bax/Bcl-xl ratio. The upper panels in (a), (b), and (c) show the autoradiogram of respective proteins from rats treated daily for 6 weeks with vehicle (VEH), HAL (2 mg/kg), or HAL + 500 U/kg rhEPO (HAL + EPO) through drinking water. The lower panels represent optical density values normalized to VEH-treated controls.  $\beta$ -Actin was used as a protein loading control (not shown). Values are mean  $\pm$  SE ( $n=5$  rats). \*\* $p<0.01$  vs VEH; ANOVA followed by *post hoc* Dunnett's multiple comparison test.

As a result, the Bax/Bcl-xl ratio, indicative of increased apoptosis, was significantly increased in HAL-treated cortex (Figure 2d;  $F = 10.85$ ,  $df = 2, 30$ ,  $p = 0.003$ ), whereas rhEPO co-treatment maintained the ratio to values in vehicle-treated cortex samples.

**rhEPO prevents HAL-induced changes in caspase-3 protein levels and enzymatic activity in rat cerebral cortex.** The level of cleaved caspase-3 protein determined by western blot analysis was very low in the vehicle-treated samples (Figure 3a; VEH). However, this increased significantly (by 65%) after 6 weeks of HAL treatment (Figure 3a; HAL;  $F = 10.636$ ,  $df = 2, 30$ ,  $p = 0.011$ ). rhEPO co-treatment markedly prevented the increase of caspase-3, which did not differ from the VEH-treated levels.

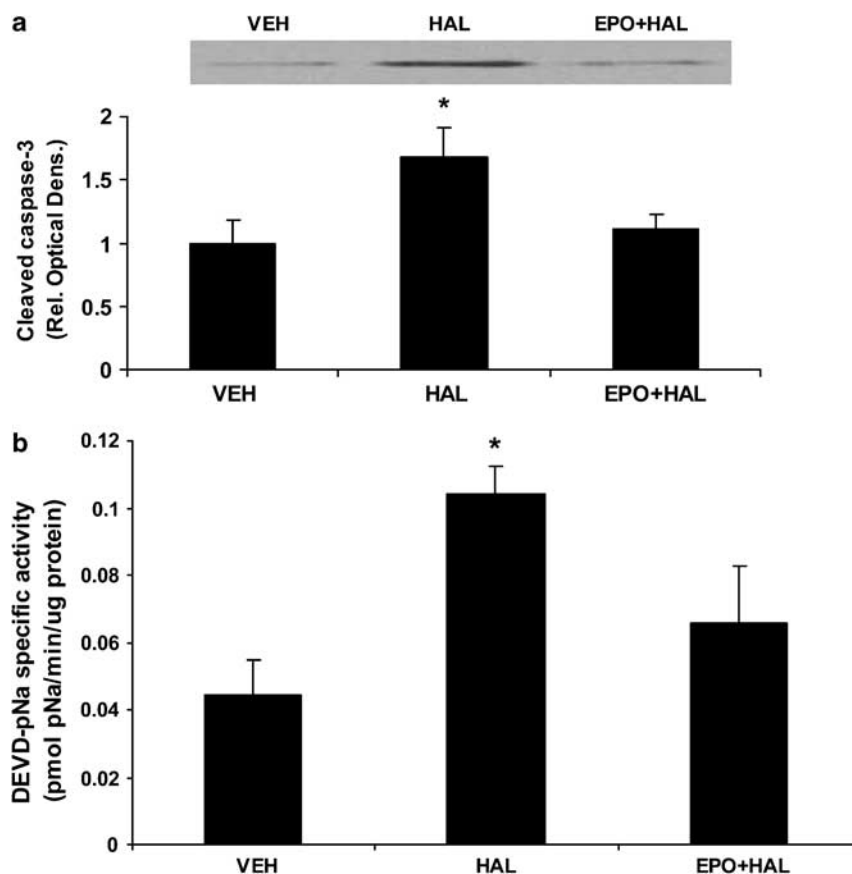
The enzymatic activity of caspase-3 was also measured in cortical samples from rats treated with HAL alone or in combination with rhEPO. HAL treatment for 6 weeks induced a significant increase (Figure 3b; HAL;  $p < 0.05$  vs VEH) in caspase-3 activity. The specific activity of caspase-3 was  $\sim 230\%$  higher in HAL compared to VEH-treated rats. EPO prevented the increase in caspase-3 activity associated with HAL treatment (Figure 3b; EPO + HAL), which was significantly lower ( $p < 0.05$ ) than HAL but not statistically higher than VEH.

## In Vitro Studies

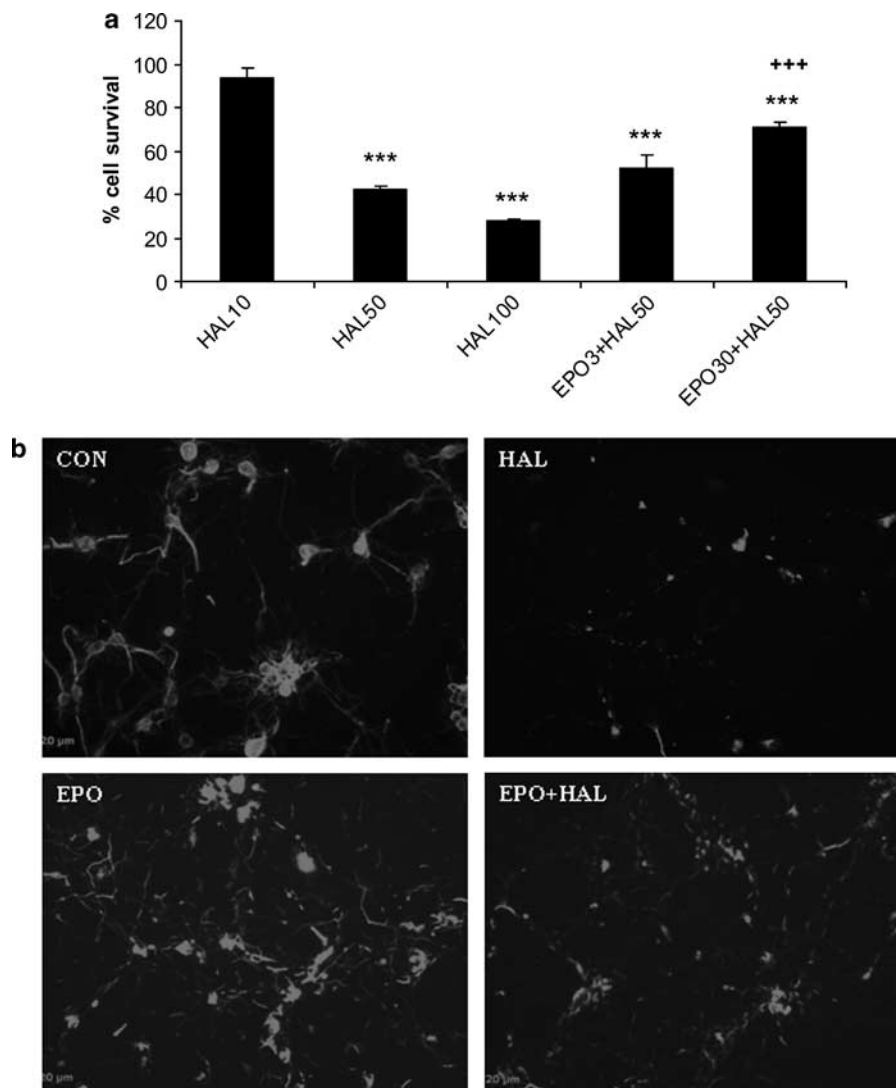
**rhEPO co-treatment prevents the primary cortical neurons from HAL-induced cell death.** Figure 4a shows the dose-dependent effect of HAL (HAL10, HAL50, and HAL100) on neuronal cell survival and indicates that 24 h exposure of  $50 \mu\text{M}$  HAL (HAL50) induced approximately 50% of neuronal death ( $F = 35.665$ ,  $df = 5, 36$ ,  $p < 0.001$ ). Co-treatment with 30 pM of rhEPO (EPO30 + HAL50) significantly increased ( $p < 0.001$  vs HAL50) the neuronal survival against HAL toxicity. A lower dose (3 pM) of rhEPO (EPO3 + HAL50) showed a smaller protective effect.

Figure 4b shows representative photomicrographs of cortical neurons in culture with various treatments. The untreated cells (CON) appeared as healthy neurons with well-established neurite outgrowth. HAL treatment ( $50 \mu\text{M}$ ) for 24 h resulted in massive loss of neurites and shrinkage or loss of cell bodies. rhEPO-treated cells (EPO) appeared very healthy, with large-size neuronal cell bodies even compared to CON. rhEPO co-treatment (EPO + HAL) substantially protected the cellular morphology from HAL toxicity.

**rhEPO prevents HAL-induced decrease in BDNF expression.** Exposure of primary cortical neurons to  $50 \mu\text{M}$  HAL for 24 h significantly decreased the level of BDNF protein



**Figure 3** Effect of haloperidol (HAL) or HAL + rhEPO on (a) cleaved caspase-3 protein levels and (b) caspase-3-like enzymatic activity in rat frontal cortex. The upper panel in (a) shows the representative autoradiogram of cleaved caspase-3 from rats treated daily for 6 weeks with vehicle (VEH), HAL (2 mg/kg) or HAL + 500 U/kg rhEPO (HAL + EPO) through drinking water. Bar graphs represent OD (a) and activity (b) values normalized to VEH-treated controls.  $\beta$ -Actin was used as a protein loading control (not shown). Values are mean  $\pm$  SE ( $n = 5$  rats). \* $p < 0.05$  vs VEH; ANOVA followed by *post hoc* Dunnett's multiple comparison test.



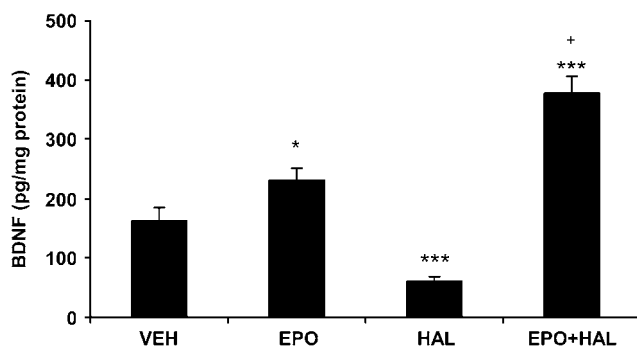
**Figure 4** Erythropoietin (EPO) protects cortical neurons from haloperidol (HAL)-induced cell death. Cortical neurons were preincubated for 24 h with 3 and 30 pM concentrations rhEPO, and then exposed to 10, 50, and 100  $\mu$ M concentrations of HAL (HAL10, HAL50, and HAL100, respectively) for 24 h. MTT assay was performed to test the cell viability. (a) EPO (30 pM, EPO30), but not 3 pM EPO (EPO3), significantly protected cortical neurons from HAL toxicity. Values are mean  $\pm$  SE of five independent experiments. \*\*\* $p < 0.001$  vs control (100%) and + + +  $p < 0.01$  vs HAL50; ANOVA followed by *post hoc* Dunnett's multiple comparison test. (b). Representative photographs of cortical neurons stained with MAP2. The treatment groups are neurons treated with vehicle (CON), 50  $\mu$ M HAL, 30 pM rhEPO (EPO), or preincubation with 30 pM rhEPO for 24 h and then treated with 50  $\mu$ M HAL for next 24 h (EPO + HAL).

(Figure 5;  $F = 73.016$ ,  $df = 3, 36$ ,  $p < 0.001$ ) as compared to untreated cells. rhEPO (30 pM) alone was able to increase the BDNF levels ( $p < 0.05$ ) relative to untreated cells. However, rhEPO co-treatment prevented the HAL-associated decrease in BDNF protein levels ( $p < 0.001$  vs HAL) and also significantly increased BDNF levels above the levels in the untreated cells ( $p < 0.001$  vs vehicle).

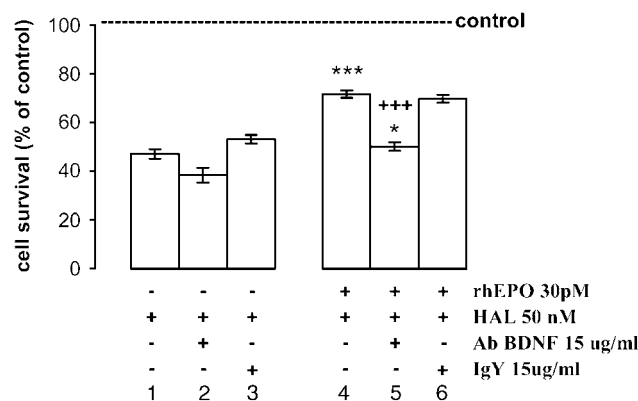
**Role of BDNF in rhEPO-induced neuroprotection against HAL-induced cell death.** The role of BDNF in rhEPO-induced neuroprotection against HAL-induced neuronal cell death was investigated in primary cortical neurons. Neurons were exposed for 24 h to 30 pM rhEPO in the presence or absence of a neutralizing anti-BDNF antibody and then challenged with 50  $\mu$ M HAL (Figure 6). HAL treatment reduced cell survival to  $\sim 50\%$  (Figure 6, lane 1;

$F = 41.64$ ,  $df = 5, 60$ ,  $p < 0.001$  vs control, 100%). However, co-treatment with anti-BDNF IgG further decreased the cell survival (Figure 6, lane 2) but IgY (Figure 6, lane 3) did not. Preexposure of neurons for 24 h to 30 pM rhEPO significantly increased the number of surviving neuronal cells (Figure 6, lane 4;  $p < 0.001$  vs HAL), but this rhEPO survival effect was significantly prevented by 15  $\mu$ g/ml anti-BDNF antibody (Figure 6, lane 5;  $p < 0.001$ ) indicating that rhEPO protection is mediated through associated increase in BDNF. Control IgY (15  $\mu$ g/ml) did not affect EPO-induced neuroprotection or HAL-induced neuronal death (Figure 6, lane 6).

**rhEPO prevents HAL treatment-induced decrease in Bcl-xl protein levels.** Exposure of primary cortical neurons to 50  $\mu$ M HAL for 24 h significantly decreased the protein level



**Figure 5** rhEPO prevents haloperidol (HAL)-induced reduction in brain-derived neurotrophic factor (BDNF) protein levels in cortical neurons. Cortical neurons were preincubated for 24 h with 30 pM rhEPO, and then exposed to 50  $\mu$ M HAL for 24 h. Histograms show the quantification of BDNF protein expressed as pg/mg protein from neurons treated with vehicle (VEH), rhEPO (erythropoietin, EPO), HAL, or rhEPO plus HAL (EPO + HAL). Cell viability is expressed as percentage of that measured in vehicle-treated neurons. Values are mean  $\pm$  SE of five independent experiments. \* $p$ <0.05 and \*\*\* $p$ <0.001 vs VEH; + $p$ <0.05 vs EPO; ANOVA followed by *post hoc* Dunnett's multiple comparison test.

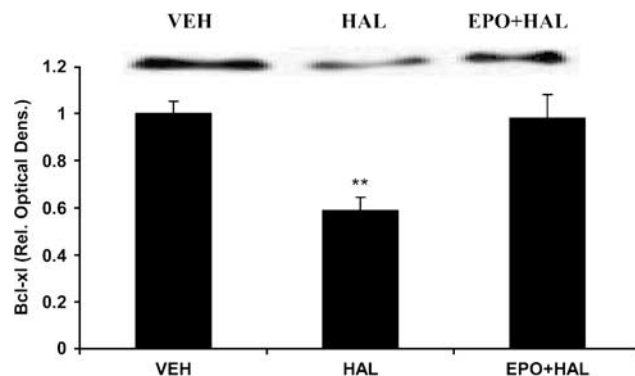


**Figure 6** Role of brain-derived neurotrophic factor (BDNF) in EPO-induced neuroprotection against haloperidol (HAL) toxicity. Cortical neurons were preincubated (+) or not (–) for 24 h with 30 pM rhEPO, rhEPO + 15  $\mu$ g/ml antibody against BDNF (Ab BDNF), or rhEPO + 15  $\mu$ g/ml IgY and then exposed to 50  $\mu$ M HAL for 24 h. MTT assay was performed to test the cell viability. EPO-induced neuroprotection against HAL was significantly prevented by Ab BDNF. Cell viability is expressed as percentage of that measured in vehicle-treated neurons (dotted line). Values are mean  $\pm$  SE of five independent experiments. \*\*\* $p$ <0.001 vs treatment 1; \* $p$ <0.05 vs treatment 2, and +++ $p$ <0.001 vs treatment 4; ANOVA followed by *post hoc* Dunnett's multiple comparison test.

of Bcl-xl (Figure 7, HAL;  $F = 22.294$ ,  $df = 2, 18$ ,  $p = 0.003$ ). However, co-treatment of neurons with 30 pM rhEPO significantly prevented the HAL-induced decrease in Bcl-xl protein expression ( $p = 0.006$  vs HAL). No change was observed in the levels of Bcl-2 and Bax either with HAL or with rhEPO co-treatment (data not shown).

## DISCUSSION

This study is the first to report a neuroprotective effect of rhEPO against HAL-induced apoptosis and a possible underlying mechanism. This mechanism involves EPO-



**Figure 7** Effect of haloperidol (HAL) or HAL + rhEPO on Bcl-xl levels in cortical neurons. Cortical neurons were preincubated for 24 h with 30 pM rhEPO, and then exposed to 50  $\mu$ M HAL for 24 h. The upper panel shows representative autoradiogram of Bcl-xl from neurons treated with vehicle (VEH), HAL (2 mg/kg) or HAL + 500 U/kg rhEPO (HAL + EPO). The lower panel represents optical density values normalized to vehicle-treated controls.  $\beta$ -Actin was used as a protein loading control (not shown). Values are mean  $\pm$  SE of five independent experiments. \*\*\* $p$ <0.01 vs VEH as well as EPO + HAL; ANOVA followed by *post hoc* Dunnett's multiple comparison test.

mediated increased levels of BDNF and changes in key BDNF-mediated antiapoptotic and apoptotic molecules *in vivo* and *in vitro*. The findings include: (1) rhEPO co-treatment with HAL was able to prevent the HAL-induced reduction in BDNF very effectively (a well-established antiapoptotic neurotrophic factor) protein levels *in vivo* and *in vitro*, (2) the neuroprotective role of BDNF in rhEPO was further confirmed by reduced neuronal survival by rhEPO when BDNF was neutralized by a specific antibody, (3) the HAL-induced decrease in BDNF levels was associated with a decrease in Bcl-xl levels and an increase in caspase-3 levels, which were prevented by rhEPO co-treatment, and (4) the Bax/Bcl-xl ratio was increased with HAL treatment, an effect that was normalized by rhEPO co-treatment.

Our data are consistent with previous reports that HAL has cytotoxic effects *in vivo* and *in vitro* in various cell types, including primary neurons (Behl *et al*, 1995; Sagara, 1998; Noh *et al*, 2000). A number of mechanisms have been suggested for HAL-induced cytotoxicity, including necrotic and apoptotic mechanisms. The increased caspase-3 activity in cultured neurons continuously exposed to HAL for 24 h is consistent with the earlier reports in rats (Ukai *et al*, 2004; Jarskog *et al*, 2007). Some studies have reported a caspase-independent pathway-mediated cell death by HAL (Wei *et al*, 2006; Crawford and Bowen, 2002). In the present study we observed (in addition to an increase in caspase-3 levels) a decrease in the antiapoptotic protein, Bcl-xl (thus resulting in a high Bax/Bcl-xl ratio), but no change in Bcl-2 levels in cortex after 45 days of HAL exposure in rats. Jarskog *et al* (2000) have also reported increased activity of caspase-3 but no change in Bcl2 in rat frontal cortex following 4 weeks of HAL administration. The increased Bax/Bcl-xl ratio has been also reported in rat hippocampus and caudate-putamen following HAL administration (Post *et al*, 2002).

EPO-induced neuroprotection is now well documented and thought to involve several mechanisms, one of which is

the recruitment of molecules involved in neuroprotective signaling. Importantly, increased BDNF expression has been shown to mediate rhEPO-induced neuroprotection in various toxicity models (Dzietko *et al*, 2004; Viviani *et al*, 2005). In the present study, we found prevention of the HAL-induced reduction in BDNF protein levels by rhEPO co-treatment. This co-treatment approach is, therefore, important since earlier studies from our own and other laboratories have shown that long-term (>4 weeks) HAL treatment causes reduction in BDNF levels (Pillai *et al*, 2006; Angelucci *et al*, 2000; Dawson *et al*, 2001) and EPO levels (Pillai and Mahadik, 2006) in rat brain. The argument that the neuroprotective role of rhEPO against HAL-induced cell death (in our study) was likely mediated via its effects on BDNF was supported by the reduced cortical neuronal cell survival induced by a specific neutralizing BDNF antibody.

Although the mechanism(s) underlying rhEPO-mediated neuroprotection are likely complex, one possibility involves the activation of PI3K-Akt to limit neuronal apoptosis (Maiese *et al*, 2004). Akt may then phosphorylate cAMP responsive element-binding protein (CREB), leading to increased BDNF promoter activity and gene expression (Hayes *et al*, 1997; Shieh and Ghosh, 1999; Zhang *et al*, 2006). In a separate study, we have reported that HAL exposure of cortical neuronal cultures reduced the Akt activation and pretreatment with rhEPO maintained the levels of activated Akt (Pillai *et al*, 2007a). Akt is also known to induce the expression of survival genes such as Bcl-2 and Bcl-xL, by activating CREB and nuclear factor- $\kappa$ B (Downward, 2004). Though the ability of rhEPO to maintain BDNF levels may limit several adverse cellular effects of HAL, including a reduction in brain glutathione levels, decreased antioxidant enzyme expression, enhanced oxidative stress (Behl *et al*, 1995; Pillai *et al*, 2007b; Sagara, 1998; Shivakumar and Ravindranath, 1992; Yokoyama *et al*, 1998), and changes in cholinergic neuronal markers (Mahadik *et al*, 1988; Terry *et al*, 2003) since BDNF has already been found to prevent changes in these molecules (Mattson *et al*, 1995).

We selected 6 weeks of HAL exposure in this study as the chronic treatment period in rats to simulate the chronic continuous treatment in patients (ie 6 weeks in rats with a lifespan of approximately 35 months equals >3 years in humans with >70 years of lifespan). Such a time period of HAL exposure in humans has been associated with a broad range of adverse neuropathological (basal ganglia and diffuse loss of dendritic and synaptic loss) and neuro-behavioral (extrapyramidal symptoms, tardive dyskinesia) effects (Cadet and Lohr, 1989; Jeste and Wyatt, 1982; Casey, 1985). We selected the 2.0 mg/kg/day dose of HAL in our studies since this dose was previously found to establish clinically relevant (Baldessarini *et al*, 1988) steady-state plasma levels in the rat (Terry *et al*, 2007). It is also important to point out that under similar dosing conditions the levels of HAL were reported to be 10 times higher in the brain than plasma levels (Kornhuber *et al*, 2006). Also, the half-lives for elimination from brain were 7.9 as opposed to <24 h from the plasma. The concentration of HAL used in cultures was established for optimum time and effect.

In conclusion, the findings of this study may have considerable clinical relevance based on the important role of BDNF in schizophrenia and the reported reductions

in brain BDNF levels in rodent studies with long-term antipsychotic treatment (Pillai *et al*, 2006). Therefore, sustained or increased brain BDNF by exogenous rhEPO co-treatment has the potential to prevent and/or reverse neuropathological events in schizophrenia (Glantz *et al*, 2006). In support of this possibility, a recent clinical add-on therapy, rhEPO with antipsychotic medication, showed significant improvement in cognitive function in chronic schizophrenia patients (Ehrenreich *et al*, 2007). In addition, rhEPO has therapeutic advantages over other neurotrophic factors in terms of delivery to their target cells in the brain and clinical tolerability (Abicht and Lochmuller, 1999; Horina *et al*, 1991). Although there are some concerns regarding the hematopoietic activity of rhEPO, derivatives of rhEPO are being developed that have potent neuroprotective activity with no hematopoietic activity (Erbayraktar *et al*, 2003).

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## DISCLOSURE/CONFLICT OF INTEREST

The author(s) declare that except for income received from my primary employer no financial support or compensation has been received from any individual or corporate entity over the past 3 years for research or professional service and there are no personal financial holdings that could be perceived as constituting a potential conflict of interest.

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